



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Elisabeth M. Bock, et al.	Examiner:	Unassigned
Serial No.:	09/781,509	Art Unit:	Unassigned
Filed:	February 12, 2001	Docket:	12754A
For:	NEUROGENIC COMPOSITIONS AND METHODS		
		Dated:	May 11, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Patent No. WO 92/10200, published June 25, 1992;
2. International Patent No. WO 95/20656, published August 3, 1995;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 11, 2001.

Dated: May 11, 2001

Janet Grossman
Janet Grossman

3. Winningham-Major, F., et al. (1989) "Neurite Extension and Neuronal Survival Activities of Recombinant S100B Proteins That Differ in The Content and Position of Cysteine Residues", The Journal of Cell Biology, Vol. 109, pp. 3063-3071;
4. Azmitia, E.C., et al. (1990) "S-100B but not NGF, EGF, insulin or calmodulin is a CNS serotonergic growth factor", Elsevier Science Publishers B.V. (Biomedical Division);
5. Ebralidze, A., et al. (1989) "Isolation and characterization of a gene specifically expressed in different metastatic cells and whose deduced gene product has a high degree of homology to a Ca^{2+} -binding protein family", Genes and Development, US, Cold Spring Harbor, NY, Vol. 3, 1994, pp. 1086-1093; and
6. Klingman, D., et al. "The S100 Protein Family", Trends in Biochemical Sciences, Vol. 13, No. 11, 1988, pp. 437-443.

The references were cited in a Search Report received from the International Patent Office dated February 26, 2001. Applicants are submitting copies of the above-cited references, along with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

It is further noted that Reference No. 5, the article by Ebralidze, A., et al., was previously submitted in a prior Information Disclosure Statement dated February 12, 2001 in connection with the above-identified application.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Frank S. DiGilio
Registration No. 31,346

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

FSD:ahs/tt

6590 04/08/2001

#3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

MAY 14 2001

Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12754A

In Re Application Of

Elisabeth M. Bock, et al.

Serial No.

09/781,509

Filing Date

February 12, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

NEUROGENIC COMPOSITIONS AND METHODS

Title:

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12754A

In Re Application Of: **Elisabeth M. Bock, et al.**

Serial No.
09/781,509

Filing Date

Examiner
Unassigned

Group Art Unit

Title: NEUROGENIC COMPOSITIONS AND METHODS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

Certificate of Service

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.) on _____

(Date) _____

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 11, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jean Grossman
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

**Frank S. DiGilio
Registration No. 31,346**

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

FSD;ahs/tt

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

12754A

Re Application Of: Elisabeth M. Bock, et al.

Serial No.

09/781,509

Filing Date

February 12, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Title: NEUROGENIC COMPOSITIONS AND METHODS

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12754A

Intra Application of: Elisabeth M. Bock, et al.

Serial No.
09/781,509

Filing Date

Examiner

Group Art Unit

Title: NEUROGENIC COMPOSITIONS AND METHODS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.) on

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

***This certificate may only be used if paying by deposit account.**

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on
May 11, 2001 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Janet Giordano
Signature of Person Mailing Correspondence

Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

**Frank S. DiGiglio
Registration No. 31,346**

Dated: May 11, 2001

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

FSD:ahs/tt

CC: